

Receipt

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0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number	09/330,231
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>				Filing Date	June 10, 1999
				First Named Inventor	Roberto Passerone
				Group Art Unit Number	2731
				Examiner Name	Not yet assigned
Total Number of Pages in This Submission		7	Attorney Docket Number		3964



ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Amended Declaration <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/ Response A: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): <input type="checkbox"/> [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> copy of Fee Transmittal <input checked="" type="checkbox"/> copy of Filing Receipt <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

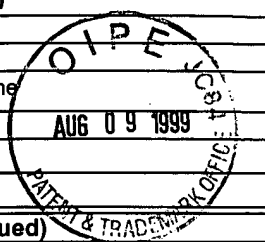
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SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	John T. McNelis, Reg. No. 37,186	Dated:	3 August 99

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.			
Signature:			
Typed or Printed Name:	John T. McNelis	Dated:	3 August 99
Express Mail Mailing Number (optional):			

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0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	C mplete if Kn wn	
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 0)		Application Number	09/330,231
		Filing Date	June 10, 1999
		First Named Inventor	Roberto Passerone
		Group Art Unit	2731
		Examiner Name	Not yet assigned
		Attorney Docket Number	3964



METHOD OF PAYMENT	FEE CALCULATION (continued)
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1. The Commissioner is hereby authorized to:

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:
☐ Check ☐ Other

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>
115/\$110	215/\$55	Extension for response within first month†	<input type="text"/>
116/\$380	216/\$190	Extension for response within second month†	<input type="text"/>
117/\$870	217/\$435	Extension for response within third month†	<input type="text"/>
118/\$1,360	218/\$680	Extension for response within fourth month†	<input type="text"/>
128/\$1,850	228/\$925	Extension for response within fifth month†	<input type="text"/>
119/\$300	219/\$150	Notice of Appeal	<input type="text"/>
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="text"/>
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="text"/>
143/\$430	243/\$215	Design Issue Fee	<input type="text"/>
122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>
123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>
126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
Other fee (specify):			<input type="text"/>
Other fee (specify):			<input type="text"/>
SUBTOTAL (3)			(\$ 0)

FEE CALCULATION (fees effective 11/12/98)

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$760	201/\$380	Utility Filing	<input type="text"/>
106/\$310	206/\$155	Design Filing	<input type="text"/>
108/\$760	208/\$380	Reissue Filing	<input type="text"/>
114/\$150	214/\$75	Provisional Filing	<input type="text"/>
SUBTOTAL (1)			(\$ 0)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**				
TOTAL	0	minus*	20 or	=	x	=	
INDEP	0	minus*	3 or	=	x	=	
<input type="checkbox"/> First presentation of multiple dependent claim							

* Subtract the greater number of Col. 2
 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0)

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	John T. McNelis	Reg. Number	37,186
Signature		Date	3 August 99

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE



APPLICANTS: Roberto Passerone, et al.
SERIAL NO.: 09/330,231
FILING DATE: June 10, 1999
TITLE: System & Method for Automatically Synthesizing Interfaces
Between Incompatible Protocols
EXAMINER: Not yet known
GROUP ART UNIT: 2731
ATTY. DKT. NO.: 3964

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 3 August 99

By: [Signature]

John T. McNelis, Reg. No. 37,186

ASSISTANT COMMISSIONER FOR PATENTS
APPLICATION PROCESSING DIVISION
CUSTOMER CORRECTION BRANCH
WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following errors:

1. The number of independent claims is erroneously stated as 2. The correct number of independent claims is 3, as evidenced by the Fee Transmittal, a copy of which is enclosed.
2. The city of residence for the third inventor is misspelled as Berkley. The correct spelling is Berkeley.

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A copy of the Filing Receipt with the corrections marked thereon also is enclosed.


Please issue a corrected Filing Receipt rectifying these errors.

☒ The correction is not due to any error by the Applicant and therefore no fee is due.

☐ Since at least one of the corrections is due to Applicant's error, payment in the amount of \$25, pursuant to 37 CFR § 1.19(h), is enclosed.

Respectfully submitted,
ROBERT PASSERONE ET AL.

Dated: 3 August 99

By: 
John T. McNelis, Reg. No.: 37,186
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7133
Fax.: (650) 494-1417

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/330,231	06/10/99	2731	\$760.00	3964-US	8	20	

JOHN T MCNELIS
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO CA 94306

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JUL 22 1999

FENWICK & WEST LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order, and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ROBERTO PASSERONE, BERKELEY, CA; JAMES A. ROWSON, FREMONT, CA; ALBERTO SANGIOVANNI VINCENTELLI, BERKELEY, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/06/99

TITLE SYSTEM AND METHOD FOR AUTOMATICALLY SYNTHESIZING INTERFACES BETWEEN INCOMPATIBLE PROTOCOLS

PRELIMINARY CLASS: 370

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DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 07/06/99

(See reverse for new important information)